

Serial No. 10/071,494
Attorney Docket No. 40013-0001
Conf. No.: 1924

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Taeg-Hyun Kang et al.

Serial No.: 10/071,494

Filed: February 6, 2002

For: Field Transistors for Electrostatic
Discharge Protection and Methods for
Fabricating the Same

#5
2-11-03
Payton
Group Art Unit: 2826

Examiner: V. Mandala

Box DD
Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)(1)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c)(1), applicants bring to the attention of the Examiner the document listed on the attached PTO form 1449. This Information Disclosure Statement is being filed before issuance of a Final Office Action on the merits.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

FAX RECEIVED

JAN 28 2003

TECHNOLOGY CENTER 2800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D. C. 20231, on this 28th day of January 2003.

Signed: Emily Shirley

Dated: 1/28/03

Serial No. 10/071,494
Attorney Docket No. 40013-0001
Conf. No.: 1924

A copy of the listed document is attached. Applicants respectfully request that the Examiner consider the listed document and indicate that they were considered by making appropriate notations on the attached PTO form 1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as "prior art" against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 18-0013/40013-0001.

FAX RECEIVED

Respectfully Submitted,

By _____



JAN 28 2003

TECHNOLOGY CENTER 2800

KENNETH E. HORTON

Reg. No. 39,481

Rader, Fishman & Grauer

Date: January 28, 2003

RADER,

FISHMAN

& GRAUER
PLLC

*Worldwide Intellectual Property Matters • Patents • Trademarks
Litigation • Copyrights • U.S. and Foreign Portfolio Management
Computer and Internet Law • Trade Secrets • Unfair Competition*

To: Examiner Victor Mandala

From: Ken Horton

2826

Fax: (703) 872-9318

Pages: 9 + Coversheet

Phone: (703)308-6560

Date: January 28, 2003

Re: 10/071,494 IDS

cc:

Urgent For Review Please Comment Please Reply Please Recycle

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure under applicable laws. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return original message to us at the address below via U.S. Postal Service. Thank you.

● Comments: I will also send a copy through the mail but wanted to fax a courtesy copy ahead of time.

FAX RECEIVED

JAN 28 2003

TECHNOLOGY CENTER 2800

• 10653 S. River Front Parkway, Suite 150, South Jordan, UT 84095 Tel: (801) 572-0185 Fax: (801) 572-7666 •
www.raderfishman.com

PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/071,494
				Filing Date	February 6, 2002
				First Named Inventor	Taeq-Hyun Kang
				Art Unit	2826
				Examiner Name	V. Mandala
				Attorney Docket Number	40013-0001
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

English language Translation is attached.
Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.
Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and
Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant
Commissioner for Patents, Washington, DC 20231.